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September 8, 2014

SENT VIA FEDEX

Richard Callan, M.P.H.
Environmental Health Scientist
US EPA
2777 South Crystal Drive (Potomac Yard One)
Room S-11363
Arlington, VA 22202

Re: Sponsored Research Project

Dear Mr. Callan,

I am writing to follow up on the letter I sent you on June 10, 2013, regarding Duke University's identification of irregular research conduct in a laboratory in Duke's Department of Medicine involving measurements of lung physiology in murine models and murine cytokine multiplex data for projects sponsored by your agency.

Our investigation into this matter is still ongoing to determine the degree to which any research misconduct may have affected awards from your agency. We have determined, however, that at this time it is appropriate to refund to your agency the financial support the EPA provided for the salary, fringe benefits and overhead related to the effort of a laboratory technician, Erin Potts-Kant, whose data is at the center of our investigation.

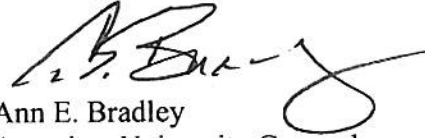
We have reviewed our records to identify all support that EPA provided for Ms. Potts-Kant. Set forth below is the relevant information regarding the refund we are making:

Grant #	F&A Rate	Salary Total	Fringe Total	F&A Total	Total Refund
RD-83329301	56	26,105.12	5,533.67	17,717.72	49,356.51

Accordingly, we intended to remit \$49,356.51. However, due to a transcription error in our check processing, please find enclosed our check in the amount of \$49,365.51.

Please feel free to contact me if you have any questions about this matter.

Sincerely,

A handwritten signature in black ink, appearing to read "A. Bradley", with a large, stylized flourish at the end.

Ann E. Bradley
Associate University Counsel

cc: *via email*
Marie Lynn Miranda, Ph.D.
Richard Auten Jr., M.D.
(b) (6)



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
NATIONAL CENTER FOR ENVIRONMENTAL RESEARCH
WASHINGTON, DC 20460

OFFICE OF
RESEARCH AND DEVELOPMENT

November 21, 2014

TO: James Gombarcik, US EPA Las Vegas Finance Center

FROM: Rich Callan, US EPA Project Officer, National Center for Environmental Research

SUBJECT: Refund Check from Duke University (Re: EPA STAR Grant RD83329301/Miranda)

Dear Mr. Gombarcik,

As you know, Duke University has refunded some funds which were provided as salary, fringe benefits and overhead for a laboratory technician as part of EPA STAR Grant RD83329301 (PI: Marie Lynn Miranda). As per the email from Eileen Brasier dated 10/27/14, I am sending the enclosed check for processing, which we received in the amount of \$49,365.51 dated 09/05/2014 made out to the U.S. Environmental Protection Agency. Please see the enclosed letter from Duke University's Office of Counsel for additional details. Please let us know whether you anticipate these funds would be available for other NCER grants.

Kind regards,

Rich Callan, MPH
Environmental Health Scientist
US EPA, National Center for Environmental Research
(703) 347-8051
callan.richard@epa.gov

Express Mail/Courier Address:
2777 South Crystal Drive (One Potomac Yard)
11th floor, Room S-11937
Arlington, VA 22202

From: Callan, Richard
To: (b) (6)
Cc: Radzikowski, Mary Ellen; Harrison, Bronda; Li, Sylvana; Brasier, Eileen; Gombarcik, James; Fountain, Joselynn
Subject: RE: Duke/Michigan Children's Center (EPA Grant RD83329301/Miranda) -- Refund check from Duke University --
CONFIDENTIAL
Date: Wednesday, November 19, 2014 11:18:00 AM

CONFIDENTIAL

Hi (b) (6)

Thank you for speaking with me today. My understanding from our conversation is that you have received advice that depositing the check does not release the university from any further possible damages to EPA so that it's OK for us to process it. As I mentioned, as per Eileen Brasier in the Las Vegas Finance Center, the check will be mailed via UPS to government lockbox 979087 in St. Louis, MO and we are preparing a cover letter to Jim Gombarcik at the LVFC which will be included, referencing the grant number and reason for the return of funds. I have included the relevant correspondence below (you were also cc'd on those messages so you should already have them).

Best regards,

Rich

Rich Callan, MPH
Environmental Health Scientist, Office of Research and Development
National Center for Environmental Research, Health Research and Fellowships Division
U.S. Environmental Protection Agency
Phone: 703-347-8051 Mobile: 202-407-1233

National Center for Environmental Research <http://www.epa.gov/ncer/>

From: Brasier, Eileen
Sent: Monday, October 27, 2014 7:17 PM
To: Callan, Richard
Cc: Fountain, Joselynn; (b) (6) Gombarcik, James
Subject: RE: Duke/Michigan Children's Center (EPA Grant RD83329301/Miranda) -- How to process refund check from Duke University -- Thank You

Richard,

Below is the physical address where the lock box is located:

U S Bank
Government Lockbox **979087**
1005 Convention Plaza

SL-MO-C2-GL
St. Louis, MO 63101

There is no contact person, but the 979087 is the Las Vegas Finance Center's personal box. That's how they know who it goes to. I hope this information is helpful.

Thank you.

Eileen Brasier
Financial Specialist
U S EPA/ LVFC

Phone: 702-798-2409
Fax: 702-798-2423
E-mail: Brasier.Eileen@epa.gov
Visit our website at: <http://www.epa.gov/financial/>
Email address for Payment Requests/FFRS: lvfc-grants@epa.gov

From: Brasier, Eileen
Sent: Thursday, October 23, 2014 4:19 PM
To: Callan, Richard
Cc: Fountain, Joselynn; (b) (6) Gombarcik, James
Subject: RE: Duke/Michigan Children's Center (EPA Grant RD83329301/Miranda) -- How to process refund check from Duke University

Richard,

Per our conversation, I am providing the address to which you will direct the refund check for grant RD83329301. It is as follows:

Payee: U S Environmental Protection Agency
Las Vegas Finance Center
P O Box 979087
St Louis, MO 63197-9000

Along with the check, please provide a cover letter, referencing the grant number and reason for the return of funds. You can address the cover letter to Jim Gombarcik, as he is over this grant.

As mentioned, once this information is received in the Las Vegas Finance Center, the refund will be posted accordingly.

Thank you and please feel free to contact Jim Gombarcik, at 702-798-2457, or at Gombarcik.James@epa.gov. You may also contact me.

Eileen Brasier

Financial Specialist
U S EPA/ LVFC

Phone: 702-798-2409

Fax: 702-798-2423

E-mail: Brasier.Eileen@epa.gov

Visit our website at: <http://www.epa.gov/financial/>

Email address for Payment Requests/FFRS: lvfc-grants@epa.gov

From: Puglisi, Peter
To: Callan, Richard
Subject: FW: Assistance Requested
Date: Thursday, February 26, 2015 6:26:57 PM

FYI

Peter A. Puglisi
U.S. Environmental Protection Agency
Las Vegas Finance Center
(702) 798-2426
(702) 798-2423 (Fax)

You can now email any documents to LVC-grants@epa.gov in lieu of fax or mail.
Visit our Website at: <http://www.epa.gov/ocfo/finservices/payinfo.htm>

From: Ann Bradley [<mailto:ann.bradley@duke.edu>]
Sent: Wednesday, February 25, 2015 11:11 AM
To: Puglisi, Peter; (b) (6)
Cc: Nate Martinez-wayman
Subject: Assistance Requested
(b) (6)

Duke has received a second inquiry from Peter Puglisi, copied above, following up on the request from James Gombarcik, Financial Specialist US EPA in the Las Vegas Finance Center, for a revised FFR to reflect the refund Duke made of Erin Potts-Kant salary, fringe and indirects on EPA Grant RD83329301.

As you are aware, this award is the subject of a government investigation, as well as Duke's continuing internal investigation, which we reported to your agency in June 2013. Inasmuch as this grant continues to be the subject of a legal inquiry, we do not believe it is appropriate to revise any reports until the matter is concluded.

Do you agree and, if so, can you assist in the communication? Duke does not want to be seen as uncooperative, but this is an unusual situation that needs to be resolved with the US Attorney's Office.

Please let me know if we can discuss further.

Hope you are doing well and ready for more snow!

Best, Ann

Ann E. Bradley
Duke University | Office of University Counsel
310 Blackwell Street, 4th Floor, Box 104124, Durham, North Carolina 27710
Phone: 919.684.3955 | Fax: 919.684.8725 | Direct: 919.613.4401 | ann.bradley@duke.edu

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